

BROKER EXAM/LICENSE INSTRUCTIONS

RE 436A (Rev. 6/24)

GENERAL INFORMATION

- This combination application may be used for the following purpose:
 - **Applying** for the real estate broker examination and the real estate broker license at the same time.
- The paper application must be mailed. Or for faster processing you can apply online using **eLicensing**. DRE will not accept a faxed RE 436. The paper RE 436 application must have the original signatures.
- The following fees must be included with your application (fees are subject to change):
 - The current examination fee and the current license fee. Refer to form RE 206 for Exam and License fees.
 - **Note: Once the Department receives your application, the fees for the examination and license are not refundable or transferable to another application pursuant to B&P Code section 10207.**
 - **Fee valid for two years** — A new application, fee, and current qualifications will be required if you fail to qualify for and pass the examination during that two year period.
- The following must be submitted before your exam can be scheduled and your license can be issued:
 - Proof of completion of the required education and experience.
 - A copy of the completed Live Scan Service Request (RE 237) (refer to Fingerprint Information).

Please read all examination and license information/instructions before completing and submitting this application; for paper applications type or print in ink.

GENERAL APPLICANT INFORMATION

- You can only be scheduled for one broker examination date at a time.
- **Name** — To avoid delays in the processing of your exam/license application(s) you must provide your legal name. Further, the name listed as your legal name must appear on all accompanying documents, including Completion Certificates. Provide documentation (i.e., copy of both your new and old drivers license, marriage certificate, etc.) for any name change or discrepancy between your legal name and the name you used in applying for the examination or the name on your transcripts or certificates.
- **Mailing address** — Use of a real estate school/business mailing address (item #5A-D) may cause a delay in mail

delivery. If you are not a resident of California, submit a notarized Consent to Service (RE 234) form. You may change your mailing address online using **eLicensing** at **www.dre.ca.gov** or by submitting a Broker Examination Change Application (RE 415B).

- Business, examinee, and licensee mailing addresses are public information and as such are posted on the Internet and are available from DRE via download, telephone, or written requests. Please consider this when identifying a business, examinee, or licensee mailing address.
- The examination is qualifying in nature, therefore if you pass, an actual score will not be released.

EXAMINATION SCHEDULING INFORMATION

- Schedules and examination locations are subject to change.
- You must have an approved exam application on file before you can schedule yourself on **eLicensing**.
- **Broker examinations are administered:** In Fresno, the Los Angeles vicinity, Oakland, Sacramento, and San Diego.
- Once you are qualified, an email will be sent to you advising you that you are qualified and that you may use **eLicensing** to schedule your examination.
- **Exam date notification** — Processing timeframes are listed at **www.dre.ca.gov**. These timeframes estimate when the processing of your application should be completed.
- If you have not received an examination confirmation notice within the timeframes listed on our website, you can obtain your scheduled date through **eLicensing** at **www.dre.ca.gov**.
- If you have not yet been assigned an exam date or received a deficiency letter and your application was submitted prior to the processing timeframes, check with your bank or credit card company to assure that DRE has processed your fee.
- Applicants with limitations requiring reasonable accommodations must submit a Reasonable Accommodation Request for Examination (RE 413) as well as the required documentation as noted on the RE 413, with this exam application. **Do not attempt to schedule a reasonable accommodation via our website, as eLicensing does not provide such a service. Compliance with reasonable accommodation requests may be limited by scheduling constraints.**
- Use **eLicensing** for expedited processing to reschedule an examination, review your examination results and records, request a duplicate schedule or result notice, and change your examination mailing address. All exam scheduling transactions done by the examinee in **eLicensing** are final.

EXAMINATION REQUIREMENTS

In addition to this application and fee, broker applicants must submit proof of completion of required education and experience. Course(s) completed through foreign institutions of higher learning must be evaluated by a foreign credentials evaluation service approved by DRE.

Refer to Examination Qualification Requirements (RE 423) and Examination Description form (RE 425) for further information, qualification requirements, examination content and examination rules. These materials are available on the DRE Web site at www.dre.ca.gov or from any DRE office.

If you submitted an examination application more than two years ago, and you qualified based on experience, you will need to resubmit documentation verifying your experience.

Education – Attach transcripts or credit certificates showing three semester-units or four quarter-units earned for each required course.

Each college- level course must be at least three semester units or four quarter units. Courses must be completed at an institution of higher learning accredited by the Western Association of Schools and Colleges or by a comparable regional accrediting agency recognized by the U.S. Department of Education, or by a private real estate school, which has courses approved by the California Real Estate Commissioner. Courses completed through foreign institutions of higher learning must be evaluated by a foreign credentials evaluation service approved by DRE. See Examination Applicant Foreign Education Information (RE 223). Copies of official transcripts are generally acceptable evidence of completed courses. Courses submitted as equivalent to the required courses must be accompanied by an official course or catalog description in order to be evaluated for equivalency.

CURRENTLY OR PREVIOUSLY LICENSED?

If you currently have a real estate broker (or broker-officer) license in California, or if your license expired less than two years ago, you are not eligible to take an examination for that type of license

Note: A broker-officer license which has not been expired for more than two years will protect your right to obtain an individual broker license.

GENERAL LICENSE INFORMATION

- Application deficiencies such as failure to submit the proper fee, education or experience requirements, or completed Live Scan Service Request (RE 237) will delay the processing.
- You may check to verify that your license has been issued by referencing the license status look up at www.dre.ca.gov. Current processing timeframes for properly completed applications are posted on the website.
- Forms referenced in these instructions can be obtained from the DRE website.
- Once you pass the exam and are issued the license, you may use **eLicensing** to retrieve a copy of your license certificate.

DRE no longer mails out license certificates.

If Item #19 Is Yes, Then Complete Items #20-23

Main office address — Enter your primary business location (a California address) at the time your license will be issued. If a street address is unavailable, indicate the physical location; use the nearest intersection and the distance to it. A post office box number alone is not acceptable. If you are not a California resident and you do not maintain a California business address, leave items 20-23 blank and also submit an Out-of-State Broker Acknowledgment (RE 235) form. Your main office address is public information and as such is posted on the Internet and available from DRE via telephone and written request.

Using a fictitious business name/doing business as (DBA) — Enter the name exactly as it appears on the Fictitious Business Name Statement (FBNS) as filed with the County Clerk in the county where your main office is located. Submit a copy of the FBNS showing the “filed stamp” from the County Clerk’s office.

- Prior to filing your fictitious business name statement with the county, you may wish to contact a local DRE district office, or check our website to determine if the name is already in use by another broker.
- Proof of Publication is not acceptable. *Unless it bears the County Clerk's "Filed" stamp.
- **Do not** list your responsible broker’s DBA if you will be working as a broker-associate.
- Legible photocopies of the FBNS are acceptable.
- An individual broker may not be issued a license with a DBA which implies the existence of a corporation, is otherwise misleading, or which would constitute false advertising. Refer to Commissioner’s Regulation 2731.

Background Information

- **ALL** background information questions (items 25-28) must be answered and a full explanation must be provided if any of the questions are answered “yes.” Insufficient or no explanations will delay the processing of your license.
- Read the Broker Certification, and sign and date on items #31 and #32.

FINGERPRINT INFORMATION

Completion & Submission of RE 237

One set of classifiable electronic fingerprints is required from every real estate license applicant. Fingerprints must be submitted through the California Department of Justice (DOJ) Live Scan Program. A Live Scan Service Request (RE 237) may be obtained online at www.dre.ca.gov.

After you have your fingerprints taken by the live scan service provider, either submit a completed copy of RE 237 with this application or, if you choose to get your fingerprints taken at a later date, submit a completed copy of RE 237 to the Department of Real Estate, 651 Bannon Street, STE 503, Sacramento, CA 95811, Attn: Fingerprint Desk. Your license will not be issued until a report from the Department of Justice is received stating

that there is no criminal history, or disclosing the existence of criminal history information. If prior criminal information is disclosed in the report, further background inquiry may be required before your license is issued.

Fingerprint services (Contact the facility for fees/hours)

Many California law enforcement agencies and other private providers provide electronic fingerprint services. You may obtain a complete list of fingerprint service providers through www.dre.ca.gov or by visiting the Department of Justice's website at www.ag.ca.gov. Please note that the hours of operation for each provider may vary and an appointment may be required.

Out-of-state applicants

Out of state residents either need to make arrangements to get their fingerprints taken at a live scan service provider in California or get fingerprinted out of state using the FBI Applicant Fingerprint Card (FD-258 Rev. 5/99). A fingerprint card can be obtained by contacting Licensing Section at **877-373-4542**. It should be taken to a local law enforcement agency for completion and submitted directly to DRE with the completed exam/license application, exam/license application fee, and \$49 fingerprint processing fee.

NOTICE ABOUT THE SAFE ACT

All DRE licensees who conduct residential mortgage loan activities, as outlined in the federal SAFE Act, must obtain a mortgage loan originator (MLO) license endorsement in order to perform these types of activities (SB 36, Calderon, Chapter 160, Statutes of 2009). MLO license endorsement applications must be submitted through the Nationwide Multistate Licensing System & Registry (NMLS) and applicants must successfully complete the National test with uniform State content, submit a MLO license endorsement filing through NMLS and pay required license endorsement fees, submit fingerprints to NMLS, satisfy the 20 hour pre-license education requirement, and submit authorization for NMLS to obtain a credit report. All MLO license endorsements expire on December 31st and must be renewed annually by submitting a renewal filing through NMLS along with the appropriate fees, and evidence of completion of 8 hours of continuing education, as specified. Detailed information regarding obtaining a MLO license endorsement can be found on our website.

RE 866 Filing Requirements

All DRE licensees must report to the Department of Real Estate if they make, arrange, or service loans secured by residential property, 1 to 4 units, under the authority of the Real Estate Law. The report must be made within 30 days of commencing such activity and must be completed online using Form RE 866 – Mortgage Loan Activity Notification. Penalty fees can apply for failure to submit this required notification.

SUBVERSION OF EXAMINATION

It is a misdemeanor for any person to subvert or attempt to subvert any licensing examination. Sections 123, 123.5, 496, 10153.01 and 10153.1 of the Business and Professions (B&P) Code relate

to test security and provide that DRE may deny, suspend, restrict or revoke the license of an applicant or licensee who subverts or attempts to subvert a licensing examination.

In addition to any other penalties, a person found guilty of violating Section 123 of the B&P Code shall be liable for the actual damages sustained by the agency administering the examination not to exceed ten thousand dollars (\$10,000) and the costs of litigation.

FEES, PAYMENT & MAILING INFORMATION

Fees are subject to change.

Fees – Refer to form RE 206 for current Exam and License fees.

Fingerprint Fee①\$ 49

① *Fingerprint fee* — Applicants who *reside in California* will pay a \$49 fingerprint processing fee directly to the live scan fingerprint service provider. Applicants who *reside out of state* should submit the \$49 fingerprint processing fee with their exam/license application, fingerprint card, and exam/license fee.

Missing requirements may be submitted anytime within the two year period after DRE receipt of your application/fee. A new application, fee, and current qualifications will be required if you fail to qualify and pass the examination during that two year period.

The fees for the examination and license are not refundable or transferable to another application pursuant to B&P Code section 10207.

Acceptable payment methods — Cashiers' check, money order, check or debit/credit card.

- Make check or money order payable to: **Department of Real Estate. DO NOT SEND CASH.**
- If paying by credit card, use a Credit Card Payment (RE 909).
- If applying using eLicensing, you must pay by debit/credit card.

Mail To — Department of Real Estate
651 Bannon Street, STE 503
Attn: Exams Section
Sacramento, CA 95811

DRE Licensing can be contacted toll free at **877-373-4542**.

EXPEDITED PROCESSING FOR U.S. MILITARY

Honorably discharged veterans of the U.S. Armed Forces and spouses/partners who meet the conditions under Business and Professions Code 10151.2 are eligible for expedited processing.

- In order to obtain expedited processing:
 - As an Honorably Discharged Veteran- please submit a copy of your U.S. DD Form 214, NGB-22 form, or Honorable Discharge certificate to confirm your condition of discharge along with application, supporting qualification documents, and fee.
 - As an Active-Duty Military Service Member- please submit a copy of either your current military

identification or current military orders along with application, supporting qualification documents, and fee.

- As an Active-Duty Military Service Member spouse/partner- please submit documentation of the relationship to the service member, real estate license from other jurisdiction, and current duty station orders for California along with application, supporting qualification documents, and fee.

If you are applying online, documentation can be submitted as part of the online application process. If you are applying via mail, please utilize the mailing address below for expedited processing.

Mail To — Department of Real Estate
651 Bannon Street, STE 502
Attn: Military Expedite
Sacramento, CA 95811

Please note - Applications to this P.O. Box from non-honorably discharged veterans or eligible spouses/partners will be processed in date received order

PRIVACY INFORMATION:

Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals. Each individual has the right to review personal information maintained by this Agency, unless access is exempted by law.

Department of Real Estate Assistant Commissioner
651 Bannon Street Licensing & Administration
Sacramento, CA 95811 Telephone: 877-373-4542

General powers of the Commissioner, Sections 10050, 10071, and 10075 of the Business and Professions Code authorizes the maintenance of this information.

Business and Professions Code Sections 30, 31(e) and 494.5(d) require each real estate licensee to initially provide to the Department of Real Estate his or her social security number which will be furnished to the Franchise Tax Board. The Franchise Tax Board will use your number to establish identification exclusively for tax purposes. These code

sections also require that each application for a new license or renewal provides notification on the application, that the Department of Tax and Fee Administration and the Franchise Tax Board will share taxpayer information with the Department of Real Estate, and that failure to pay tax obligations may result in a suspension or denial of a license. The Board of Equalization and Franchise Tax Board require the Department to collect social security numbers and federal taxpayer identification numbers for the purposes of matching the names of the 500 largest tax delinquencies pursuant to Section 7063 or 19195 of the Revenue and Taxation Code. Your social security numbers and federal taxpayer identification number shall not be deemed public records and shall not be open to the public for inspection.

The Real Estate Law and the Regulations of the Commissioner require applicants to provide the Department with specific information. If all or any part of the required information is not provided, processing may be delayed. In addition, the Commissioner may suspend or revoke a

license, or in the case of a license applicant, may deny the issuance for misstatements of facts (including a failure to disclose a material fact) in an application for a license.

The information requested in this form is primarily used to furnish license status information to the Department's Enforcement Section, and to answer inquiries and give information to the public on license status, mailing and business addresses and actions taken to deny, revoke, restrict or suspend licenses for cause.

This information may be transferred to real estate licensing agencies in other states, law enforcement agencies (City Police, Sheriff's Departments, District Attorneys, Attorney General, F.B.I.), and any other regulatory agencies (i.e., Department of Business Oversight, Department of Insurance, Department of Consumer Affairs, California Bar Association).