

EXAM/LICENSE APPLICATION CHECKLIST

RE 216B (Rev. 7/20)

GENERAL INFORMATION

This checklist may be used for *either* the salesperson or broker exam/license applications.

- ✓ Read appropriate license instructions carefully (RE 435A or RE 436A).
- ✓ Application must list your legal name.
- ✓ Items to be included in your packet are listed below. Check off each item as you assemble your packet.
- ✓ Mail completed **application package and fee** to:
Department of Real Estate
P.O. Box 137001
Sacramento, CA 95813-7001

✓ Titles of forms referenced by number. All of these forms are available on DRE's Web site at **www.dre.ca.gov**.

- RE 206 Exam & Licensing Fees
- RE 226 Licensed Experience Verification
- RE 227 Non-Licensed Experience Verification
- RE 228 Experience Certification
- RE 237 Live Scan Service Request (Applicant)
- RE 237B Fingerprint Information
- RE 423 Examination Qualification Requirements
- RE 435 Salesperson Exam/License Application
- RE 435A Salesperson Exam/License Instructions
- RE 436 Broker Exam/License Application
- RE 436A Broker Exam/License Instructions
- RE 909 Credit Card Payment

SALESPERSON APPLICANTS

Required documents...

- RE 435 — Completed and signed by applicant.
- Official transcripts or course completion certificates for Real Estate Principles, Real Estate Practice and/or one other required course — not needed, if previously submitted.
- RE 237 (See ① below.) — Completed by applicant and live scan service operator.
- License fee — Refer to RE 206 for current fees. **Do not send cash.**
 - Cashiers' check, check, or money order made payable to: Department of Real Estate.
 - RE 909 — If paying by credit card.

BROKER APPLICANTS

Required documents ...

- RE 436 — Completed and signed by applicant.
- Documentation to establish examination course requirements have been met. Refer to RE 423 for current requirements.
 - Official transcripts.
 - Course completion certificates.
- Documentation showing experience requirements have been met. Please note that depending on your circumstances, one or more of the forms listed below may be required.
 - RE 226 — Documenting licensed experience.
 - RE 227 — Documenting non-licensed experience.
 - RE 228 — Two RE 228's are required if unable to obtain broker's signature on RE 226 form.
- RE 237 (See ① below.) — Completed by applicant and live scan service operator.
- License fee — Refer to RE 206 for current fees. **Do not send cash.**
 - Cashiers' check, check, or money order made payable to: Department of Real Estate.
 - RE 909 — If paying by credit card.

① Make two photocopies of the copy provided. After fingerprints are completed the live scan service operator will retain the *original*. You should return the *second* copy to DRE and keep the *third* copy for your records.

SALESPERSON EXAM/LICENSE APPLICATION

RE 435 (Rev. 7/20)

DRE RECEIVED DATE

DRE USE ONLY

DRE USE ONLY

GENERAL INFORMATION

- Apply for the real estate salesperson examination *and* the real estate salesperson license at the same time.
- Do not submit photocopies of this form or fax this form.

Read enclosed instructions (RE 435A) before completing and submitting this application to ensure that you understand the requirements and that the proper qualifying documentation and exam/license fee are submitted. **Note: Fees submitted with this application are not refundable or transferable.** If you fail to qualify for or pass the examination within two years of the date this application is filed, the fees will not be applied to any future applications.

DRE USE ONLY	EXAM ID	LIC. ID
	EFFECTIVE DATE	EXPIRATION DATE
	DATE EXAM PASSED	

APPLICATION IS FOR: (Must check one box)

- C** **Real estate salesperson examination AND license** – Complete Items 1-35.
- L** **Real estate salesperson license ONLY** (If already scheduled for examination)
– Complete Items 1-13 and 18-35.

FEES REQUIRED	Exam.....\$ 60
	License.....\$245
	Total due to DRE with this application.....\$305

APPLICANT INFORMATION

1. SOCIAL SECURITY NUMBER OR INDIVIDUAL TAXPAYER ID NUMBER (**REQUIRED** – REFER TO PRIVACY NOTICE ON RE 435A) 2. BIRTH DATE (MM/DD/YYYY) (**required**)

3. Have you **ever** applied for a California real estate exam?..... YES NO
If YES, list examination ID#. (If known).....

4. APPLICANT'S NAME (MUST BE YOUR LEGAL NAME) - LAST FIRST MIDDLE SUFFIX

5A. MAILING ADDRESS – STREET ADDRESS OR POST OFFICE BOX

5B. CITY 5C. STATE 5D. ZIP CODE

6. Do you reside in California?..... YES NO
If NO, an "RE 234" is required (Refer to RE 435A).

7. CURRENT PHONE NUMBER (**required**) 8. CURRENT EMAIL ADDRESS (**required - PRINT CLEARLY**)
()

9. BUSINESS CELL PHONE NUMBER 10. BUSINESS TELEPHONE NUMBER
()

11. ARE YOU CURRENTLY SERVING IN THE U.S. MILITARY? 12A. HAVE YOU PREVIOUSLY SERVED IN THE U.S. MILITARY? 12B. IF YES, WERE YOU HONORABLY DISCHARGED?
 YES NO YES NO YES NO (see RE 435A for expedited processing instructions)

13. Do you now hold or have you **ever** held a California real estate license?..... YES NO
If YES, complete 13A, B, C, and D below.

13A. TYPE OF LICENSE 13B. IDENTIFICATION NUMBER 13C. EXPIRATION DATE 13D. NAME ON LICENSE

EXAMINATION INFORMATION

14. When you are qualified for the examination would you prefer to use YES – Please notify me by email when I am qualified for the examination.
*e*Licensing to schedule your own examination date? NO – Proceed to item 15.

15. EXAM AREA PREFERENCE 16. TIME PREFERENCE 17. EARLIEST DATE YOU CAN TAKE EXAM I am requesting a reasonable accommodation; RE 413 & supporting documents are attached.

SACRAMENTO FRESNO LOS ANGELES VICINITY A.M. (mm) (dd) (yyyy)

OAKLAND SAN DIEGO OTHER: P.M.

DRE USE ONLY

<input type="checkbox"/> RESTRICTED <input type="checkbox"/> VIOLATIONS	FORM LETTER / NOTES	EXAM PROCESSOR	DATE PROCESSED
	SENDER/DATE SENT	LICENSE PROCESSOR	DATE PROCESSED



NAME OF APPLICANT

LICENSE INFORMATION

18. HAVE YOU USED ANY OTHER NAMES (I.E., MAIDEN NAME, FORMER MARRIED NAMES, AKA'S, ETC.)?
[] YES — IF YES, LIST ALL NAMES USED: [] NO [] MALE [] FEMALE

20. INITIAL WORKING STATUS (Must check one)
[] YES. PLEASE ISSUE MY LICENSE IN A WORKING STATUS WITH THE RESPONSIBLE BROKER OR CORPORATION INDICATED ON ITEMS 21-27. WHEN MARKED "YES", ITEMS 21-27 MUST BE COMPLETED IN FULL AND SIGNED BY RESPONSIBLE BROKER/OFFICER.
[] NO. I DO NOT HAVE A RESPONSIBLE BROKER/CORPORATION AT THIS TIME. PLEASE ISSUE MY LICENSE IN A NON-WORKING STATUS. DO NOT COMPLETE ITEMS 21-27. LEAVE ITEMS 21-27 BLANK.

21. BROKER OR CORPORATION ID # DRE USE ONLY 22. BROKER/CORP. EXPIRATION DATE 23. BUSINESS TELEPHONE NUMBER ()

24. RESPONSIBLE BROKER OR CORPORATION NAME (Print name as it appears on license – Do not list DBA's)

25. MAIN OFFICE ADDRESS OF RESPONSIBLE BROKER OR CORPORATION

Broker Certification

I hereby certify under penalty of perjury that I am a licensed real estate broker, and that I have read the applicant's answers to all questions in the application, and that upon the applicant being issued the license there will be a written agreement on file in my office covering the material aspects of affiliation relationship including supervision, duties, and compensation of the applicant named on this form under the affiliation provisions of Section 2726 of the Regulations of the Real Estate Commissioner.

I am aware of the provisions of Section 3700 of the Labor Code which requires every employer to be insured against liability for workers' compensation.

26. ORIGINAL SIGNATURE (Responsible Broker/Licensed Officer) DATE 27. PRINTED NAME OF LICENSED OFFICER (If corporation on line 24.)

BACKGROUND INFORMATION

Carefully read and provide detailed answers to questions 28-31. You must provide a "yes" or "no" response to all questions, and completely and accurately provide the detailed information required. Attach additional sheets if you need more space. Each additional sheet must be signed by the applicant and responsible broker, if any. Failure to disclose pertinent information may result in denial of your license application and/or delays.

- 28. HAVE YOU EVER HAD A DENIED, SUSPENDED, RESTRICTED OR REVOKED BUSINESS OR PROFESSIONAL LICENSE (INCLUDING REAL ESTATE), IN CALIFORNIA OR ANY OTHER STATE? IF YES, COMPLETE ITEM 32.
29. ARE THERE ANY LICENSE DISCIPLINARY ACTIONS PENDING AGAINST A BUSINESS OR PROFESSIONAL LICENSE YOU HOLD AT THIS TIME? IF YES, COMPLETE ITEM 32.
30. HAVE YOU EVER BEEN REQUIRED TO REGISTER AS A SEX OFFENDER PURSUANT TO THE PROVISIONS OF SECTION 290 OF THE CALIFORNIA PENAL CODE OR ANY COMPARABLE LAW OF ANY STATE OR GOVERNMENTAL UNIT? IF YES, COMPLETE ITEM 33.
31. HAVE YOU EVER BEEN ORDERED TO CEASE, DESIST AND/OR REFRAIN FROM DOING AN ACT(S), OR FROM VIOLATING A LAW, RULE OR REGULATION BY, OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT, BY AN ADMINISTRATIVE AGENCY OR PROFESSIONAL ASSOCIATION IN CALIFORNIA OR ANY OTHER STATE? IF YES, COMPLETE ITEMS 32-33.

32. DETAILED EXPLANATION OF ITEM 28, 29 AND/OR 31. IF NEEDED, EXPLANATION MAY BE PROVIDED BELOW.

Table with 4 columns: 32A. TYPE OF LICENSE, 32B. LICENSE ID NO., 32C. LICENSE EXPIRATION DATE, 32D. STATE, 32E. ACTION (revoked, etc.), 32F. DATE OF ACTION, 32G. DATE ACTION TERMINATED, 32H. CODE SECTION VIOLATED

33. ADDITIONAL INFORMATION: SPECIFY WHICH LINES YOU ARE REFERRING TO. ATTACH EXTRA SHEETS IF MORE ROOM IS NEEDED. EACH ADDITIONAL SHEET MUST BE SIGNED BY APPLICANT AND RESPONSIBLE BROKER, IF ANY.



NAME OF APPLICANT

SALESPERSON EXAM & LICENSE CERTIFICATION

Exam — I hereby certify under penalty of perjury that I am aware of and meet all examination requirements as set forth in Section 10153 of the B&P Code, and those set forth in RE 435A. I understand that the fees remitted will not be refunded or transferred under any circumstances per Section 10207 of the B&P Code. I also understand that subverting the examination will subject me to the penalties of Sections 123, 123.5, 496, 10153.01, and 10153.1 of the B&P Code.

License — I certify under penalty of perjury under the laws of the State of California that the foregoing answers and statements given in this application are true and correct, that at the time of license issuance I will be 18 years of age or older, that if licensed I will not violate any provisions of the Real Estate Law nor abuse the privileges of a real estate license. I understand that the Department of Real Estate cannot refund or transfer the fees submitted with this application, if I fail to qualify for the license for any reason or withdraw this application.

I understand that it is my obligation to notify the Department upon licensure within 30 days in writing or by filing form RE 238 of any conviction, criminal complaint, information or indictment charging a felony, or disciplinary action taken by another licensing entity or authority of this state or an agency of the federal government pursuant to Business and Professions Code Section 10186.2. I also understand that the Department may delay my renewal and toll the expiration date of my license pursuant to B&P Section 10177.

34. SIGNATURE OF APPLICANT (MUST BE ORIGINAL SIGNATURE)

35. DATE

SALESPERSON EXAM/LICENSE INSTRUCTIONS

RE 435A (Rev. 7/20)

GENERAL INFORMATION

- This combination application may be used for the following purpose:
 - **Applying** for the real estate salesperson examination and the real estate salesperson license at the same time.
- The application must be mailed. You cannot apply using **eLicensing**. DRE will not accept a faxed RE 435. The RE 435 application must have the original signatures. It must be mailed in; a faxed copy will not be accepted.
- The following fees must be included with your application (fees are subject to change):
 - The current examination fee is \$60 **and** the current license fee is \$245. *Total due to DRE with this application: \$305.*
 - **Note: Once the Department receives your application, the fees for the examination and license are not refundable or transferable to another application pursuant to B&P Code section 10207.**
 - **Fee valid for two years** — A new application, fee, and current qualifications will be required if you fail to qualify for and pass the examination during that two year period.
- The following must be submitted before your license can be issued:
 - Transcripts for Real Estate Principles, Real Estate Practice and/or one other required course, if not previously submitted (refer to Education Requirements).
 - A copy of the completed Live Scan Service Request (RE 237) (refer to Fingerprint Information).

Please read all examination and license information/instructions before completing and submitting this application; type or print in ink.

GENERAL APPLICANT INFORMATION

- You are scheduled for one salesperson examination date at a time.
- **Name** — To avoid delays in the processing of your exam/license application(s) you must provide your legal name. Further, the name listed as your legal name must appear on all accompanying documents, including Completion Certificates. Provide documentation (i.e., copy of your drivers license, marriage certificate, etc.) for any name change or discrepancy between your legal name and the name you used in applying for the examination or the name on your transcripts or certificates.
- **Mailing address** — Use of a real estate school/business mailing address (item #5A-D) may cause a delay in mail delivery. If you are not a resident of California, submit a notarized Consent to Service (RE 234). You may change your mailing address

online using **eLicensing** at www.dre.ca.gov or by submitting a Salesperson Examination Change Application (RE 415A). Note: Changing your exam address online *will not* change the address on your license record. You will need to submit a Salesperson Examination Change Application (RE 415A).

- Business, examinee, and licensee mailing addresses are public information and as such are posted on the Internet and are available from DRE via download, telephone, or written requests. Please consider this when identifying a business, examinee, or licensee mailing address.
- The examination is qualifying in nature. If you pass the examination, an actual score will not be released.

EXAMINATION SCHEDULING INFORMATION

- Schedules and examination locations are subject to change.
- You must have an active exam application on file before you can schedule yourself on **eLicensing**.
- **Salesperson examinations are usually given:** Weekdays as needed in Fresno, the Los Angeles vicinity, Oakland, Sacramento, and San Diego. At www.dre.ca.gov you can view a calendar of examination dates and locations currently being scheduled. Since DRE allows reschedule requests online, by phone, and through the mail, examination dates fill quickly and a particular date may not be available; therefore, requests cannot be guaranteed.
- Once you are qualified, you can either schedule yourself for the examination or DRE will schedule you. If you indicate on the application (item #14) that you want to schedule yourself for the examination, an email will be sent to you advising you that you are qualified and that you may use **eLicensing** to schedule your examination.
- If you do not provide a date in item #17, and do not request self-scheduling (item #14), you will be scheduled by DRE for the earliest available date in the area chosen.
- **Exam date notification** — Processing timeframes are listed at www.dre.ca.gov. These timeframes estimate when the processing of your application should be completed.
- If you have not received an examination confirmation notice within the timeframes listed on our Web site, you can obtain your scheduled date through **eLicensing** at www.dre.ca.gov.
- If you have not yet been assigned an exam date or received a deficiency letter and your application was submitted prior to the processing timeframes, check with your bank or credit card company to assure that DRE has processed your fee.
- Applicants with limitations requiring reasonable accommodations must submit a Reasonable Accommodation Request for Examination (RE 413) as well as the required documentation as noted on the RE 413, with this exam application. **Do not attempt to schedule a reasonable accommodation via our Web site, as eLicensing does not provide such a service.**

Compliance with reasonable accommodation requests may be limited by scheduling constraints

- Use **eLicensing** for expedited processing to reschedule an examination, review your examination results and records, request a duplicate schedule or result notice, and change your examination mailing address.

All exam scheduling transactions done by the examinee in **eLicensing** are final.

EDUCATION REQUIREMENTS

In addition to this application and fee, salesperson applicants must submit official transcripts only (unofficial transcripts are not accepted) or credit certificate showing proof of completion of a three semester or four quarter unit college-level course or an equivalent DRE approved course in **Real Estate Principles, Real Estate Practice** and one additional course which should be chosen from the following list of courses: Accounting, Business Law, Common Interest Developments, Computer Applications in Real Estate, Escrows, Legal Aspects of Real Estate, Mortgage Loan Brokering & Lending, Property Management, Real Estate Appraisal, Real Estate Economics, Real Estate Finance, and Real Estate Office Administration.

The three semester-unit or four quarter-unit courses must be completed through a regionally accredited college or university or an approved DRE private course sponsor. Course(s) completed through a foreign institution of higher learning **must** be evaluated by a foreign credentials evaluation service approved by DRE. Refer to the Examination Applicant Foreign Education Information (RE 223) for foreign education.

Refer to **Instructions To License Applicants** handbook and **Examination Description** form (RE 425) for further information, examination content and examination rules. These materials are available on the DRE Web site at www.dre.ca.gov or from any DRE office.

CURRENTLY OR PREVIOUSLY LICENSED?

- If you currently have a real estate salesperson license in California, or if your license expired less than two years ago, you are not eligible to take a salesperson examination. You may obtain a new license through the renewal process.

GENERAL LICENSE INFORMATION

Application deficiencies such as failure to submit the proper fee, educational requirements, or completed Live Scan Service Request (RE 237) will delay processing.

You may check to verify that your license has been issued by referencing the license status look up at www.dre.ca.gov. Current processing timeframes for properly completed applications are posted on the Web site.

Forms referenced in these instructions can be obtained from the DRE Web site.

Once you pass the exam and are issued the license, you may use **eLicensing** to retrieve a copy of your license certificate. DRE no longer mails out license certificates.

Items 20 through 27

- Must be completed by the sponsoring broker or licensed officer

if you will be performing work in real estate for which a real estate license is required. **Note:** Only the sponsoring broker's or licensed officer's signature is acceptable. Signatures of office managers, other salespersons, secretaries or non-licensed officers are not acceptable, and will delay the issuance of the license.

- If a corporation is entered on item #21, the licensed officer should enter his/her printed name on item #27 and sign on item #26 on behalf of the corporation.

Items 28 through 35

- **ALL** background information questions (items 28-31) must be answered and a full explanation must be provided if any of the questions are answered "yes." Insufficient or no explanations will delay the processing of your license.
- Read the Salesperson Certification and sign and date items #34 and #35.

FINGERPRINT INFORMATION

Completion & Submission of RE 237

One set of classifiable electronic fingerprints is required from every real estate license applicant. Fingerprints must be submitted through the California Department of Justice (DOJ) Live Scan Program. A Live Scan Service Request (RE 237) may be obtained online at www.dre.ca.gov.

After you have your fingerprints taken by the live scan service provider, either submit a completed copy of RE 237 with this application or, if you choose to get your fingerprints taken at a later date, submit a completed copy of RE 237 to the Department of Real Estate, P.O. Box 137002, Sacramento, CA 95813, Attn: Fingerprint Desk. Your license will not be issued until a report from the Department of Justice is received stating that there is no criminal history, or disclosing the existence of criminal history information. If prior criminal information is disclosed in the report, further background inquiry may be required before your license is issued.

Fingerprint Services (Contact the facility for fees/hours)

Many California law enforcement agencies and other private providers provide electronic fingerprint services. You may obtain a complete list of fingerprint service providers by visiting the Department of Justice's Web site at www.ag.ca.gov. Please note that the hours of operation for each provider may vary and an appointment may be required.

Out-of-state applicants

Out-of-state residents either need to make arrangements to get their fingerprints taken at a live scan service provider in California or get fingerprinted out of state using the FBI Applicant Fingerprint Card (FD-258 Rev. 5/99). A fingerprint card can be obtained by contacting the Licensing Section at **877-373-4542**. It should be taken to a local law enforcement agency for completion and submitted directly to DRE with the completed exam/license application, exam/license application fee, and \$49 fingerprint processing fee.

NOTICE ABOUT THE SAFE ACT

All DRE licensees who conduct residential mortgage loan activities, as outlined in the federal SAFE Act, must obtain a mortgage loan

originator (MLO) license endorsement in order to perform these types of activities (SB 36, Calderon, Chapter 160, Statutes of 2009). MLO license endorsement applications must be submitted through the Nationwide Multistate Licensing System & Registry (NMLS) and applicants must successfully complete the National test with uniform State content, submit a MLO license endorsement filing through NMLS and pay required license endorsement fees, submit fingerprints to NMLS, satisfy the 20 hour pre-license education requirement, and submit authorization for NMLS to obtain a credit report. All MLO license endorsements expire on December 31st and must be renewed annually by submitting a renewal filing through NMLS along with the appropriate fees, and evidence of completion of 8 hours of continuing education, as specified. Detailed information regarding obtaining a MLO license endorsement can be found on our Web site.

RE 866 Filing Requirements

All DRE licensees must report to the Department of Real Estate if they make, arrange, or service loans secured by residential property, 1 to 4 units, under the authority of the Real Estate Law. The report must be made within 30 days of commencing such activity and must be completed online using Form RE 866 – Mortgage Loan Activity Notification. Penalty fees can apply for failure to submit this required notification.

SUBVERSION OF EXAMINATION

It is a misdemeanor for any person to subvert or attempt to subvert any licensing examination. Sections 123, 123.5, 496, 10153.01 and 10153.1 of the Business and Professions (B&P) Code relate to test security and provide that DRE may deny, suspend, restrict or revoke the license of an applicant or licensee who subverts or attempts to subvert a licensing examination.

In addition to any other penalties, a person found guilty of violating Section 123 of the B&P Code shall be liable for the actual damages sustained by the agency administering the examination not to exceed ten thousand dollars (\$10,000) and the costs of litigation.

FEES, PAYMENT & MAILING INFORMATION

Fees are subject to change.

Fees – Salesperson

Exam.....\$ 60
License\$245

*Total due to DRE
with this application\$305*

Fingerprint Fee①.....\$ 49

①*Fingerprint fee* — Applicants who *reside in California* will pay a \$49 fingerprint processing fee directly to the live scan fingerprint service provider. Applicants who *reside out of state* should submit the \$49 fingerprint processing fee with their exam/license application, fingerprint card, and exam/license fee.

Missing requirements may be submitted anytime within the two year period after DRE receipt of your application/fee. A new application, fee, and current qualifications will be required if you fail to qualify and pass the examination during that two year period.

The fees for the examination and license are not refundable or transferable to another application pursuant to B&P Code section 10207.

Acceptable payment methods — Cashiers’ check, money order, check or credit card.

- Make check or money order payable to: **Department of Real Estate.**
- If paying by credit card, you must complete a Credit Card Payment Form (RE 909).

Mail To — Department of Real Estate
P.O. Box 137001
Sacramento, CA 95813-7001

DRE Licensing can be contacted toll free at **877-373-4542**.

EXPEDITED PROCESSING FOR U.S. VETERANS

Honorably discharged veterans of the U.S. Armed Forces are eligible for expedited processing.

- In order to obtain expedited processing, please submit a copy of your U.S. DD Form 214, NGB-22 form, or Honorable Discharge certificate to confirm your condition of discharge, along with the application, supporting qualification documents, and fee.
- Please note that applications received to this P.O. Box from non-honorably discharged veterans will be processed in date received order.

Mail To — Department of Real Estate
P.O. Box 137014
Sacramento, CA 95813-7014
Attn: Expedited Military Processing

PRIVACY INFORMATION:

Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals. Each individual has the right to review personal information maintained by this Agency, unless access is exempted by law.

Department of Real Estate Assistant Commissioner
1651 Exposition Blvd. Licensing & Administration
Sacramento, CA 95815 Telephone: 877-373-4542

General powers of the Commissioner, Sections 10050, 10071, and 10075 of the Business and Professions Code authorizes the maintenance of this information.

Business and Professions Code Sections 30, 31(e) and 494.5(d) require each real estate licensee to initially provide to the Department of Real Estate his or her social security number which will be furnished to the Franchise Tax Board. The Franchise Tax Board will use your number to establish identification exclusively for tax purposes. These code

sections also require that each application for a new license or renewal provides notification on the application, that the Board of Equalization and the Franchise Tax Board will share taxpayer information with the Department of Real Estate, and that failure to pay tax obligations may result in a suspension or denial of a license. The Board of Equalization and Franchise Tax Board require the Department to collect social security numbers and federal taxpayer identification numbers for the purposes of matching the names of the 500 largest tax delinquencies pursuant to Section 7063 or 19195 of the Revenue and Taxation Code. Your social security numbers and federal taxpayer identification number shall not be deemed public records and shall not be open to the public for inspection.

The Real Estate Law and the Regulations of the Commissioner require applicants to provide the Department with specific information. If all or any part of the required information is not provided, processing may be delayed. In addition, the Commissioner may suspend or revoke a

license, or in the case of a license applicant, may deny the issuance for misstatements of facts (including a failure to disclose a material fact) in an application for a license.

The information requested in this form is primarily used to furnish license status information to the Department’s Enforcement Section, and to answer inquiries and give information to the public on license status, mailing and business addresses and actions taken to deny, revoke, restrict or suspend licenses for cause.

This information may be transferred to real estate licensing agencies in other states, law enforcement agencies (City Police, Sheriff’s Departments, District Attorneys, Attorney General, F.B.I.), and any other regulatory agencies (i.e., Department of Business Oversight, Department of Insurance, Department of Consumer Affairs, California Bar Association).

LIVE SCAN SERVICE REQUEST (Applicant/Petitioner)

RE 237 (Rev. 7/20)

Instructions on reverse.

Attention - Live Scan Service Providers

Please note the following information when processing Department of Real Estate (DRE) license applicants.

- ❖ The Type of Application should indicate **License, Certification, or Permit**, and the Application Title should indicate **Real Estate License**.
- ❖ The Department of Justice and FBI processing fee is to be paid by the applicant and *should be* collected at the live scan service provider site.

PART 1		CONTRIBUTING AGENCY	
AGENCY ORI NUMBER A0075	AGENCY ADDRESS SET CALIFORNIA DEPARTMENT OF REAL ESTATE 1651 EXPOSITION BLVD, SACRAMENTO CA 95815	E-MAIL CODE 09416	
APPLICATION TYPE LICENSE, CERTIFICATION, OR PERMIT		CONTACT NAME LICENSING SECTION	
APPLICATION TITLE REAL ESTATE LICENSE		CONTACT TELEPHONE NUMBER 1-877-373-4542	

PART 2		APPLICANT'S PERSONAL INFORMATION	
APPLICANT NAME (LAST, FIRST, & MIDDLE INITIAL)			
FORMER NAME/AKA'S (LAST, FIRST)			
DATE OF BIRTH (MM/DD/YYYY)	GENDER <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE	HEIGHT	WEIGHT
EYE COLOR	HAIR COLOR	PLACE OF BIRTH	
SOCIAL SECURITY NUMBER	CALIFORNIA DRIVER'S LICENSE NO.	AGENCY BILLING NUMBER <i>Fees paid by applicant</i>	
RESIDENCE ADDRESS (STREET/P.O. BOX, CITY, STATE, ZIP CODE)			
YOUR OCA NUMBER (USE SOCIAL SECURITY NUMBER)		LEVEL OF SERVICE CALIFORNIA DEPARTMENT OF JUSTICE / FBI	

I have received and read the included Privacy Notice, Privacy Act Statement, and Applicant's Privacy Rights.

_____ Applicant Signature _____ Date

PART 3		LIVE SCAN TRANSACTION	
OPERATOR NAME	DATE COMPLETED	TRANSMITTING AGENCY	
AMOUNT COLLECTED (FOR ROLLING FEE)	AMOUNT COLLECTED (FOR DOJ/FBI PROCESSING)	ATI NUMBER	

PART 4		LIVE SCAN RESUBMISSION TRANSACTION	
ORIGINAL ATI NUMBER	LEVEL OF SERVICE REQUESTED FOR RESUBMISSION <input type="checkbox"/> CALIFORNIA DEPARTMENT OF JUSTICE <input type="checkbox"/> FBI		

*Original Copy
Live Scan Operator*

*Second Copy
Department of Real Estate*

*Third Copy
Applicant*

APPLICANT INSTRUCTIONS

Fingerprint Requirement

One set of classifiable electronic fingerprints is required from every real estate license applicant. The fingerprint process is completed by a live scan service provider as noted below.

One set of classifiable electronic fingerprints is also required by licensees who hold a restricted license and who are petitioning for removal of restrictions or by individuals who have had their real estate license revoked and are petitioning for reinstatement of their license.

Applicants have the option of getting their fingerprints taken either after passing their examination or after they have submitted their examination application. However, fingerprint processing fees are not refunded if an applicant fails to pass the examination.

Out of state residents either need to make arrangements to get their fingerprints taken at a live scan service provider in California or get fingerprinted out of state using the FBI Applicant Fingerprint Card (FD-258 Rev. 5/99). A fingerprint card will be provided to all out of state applicants with the original license application. It should be taken to a local law enforcement agency for completion and submitted directly to DRE with the completed license application, license application fee, and \$49.00 fingerprint card processing fee. Applicants who wish to get their fingerprints taken out of state after submitting their examination application, but before passing their examination, may request a blank fingerprint card by contacting the Licensing Section at 1-877-373-4542.

Completion of Form RE 237 Live Scan Service Request

The following information must be entered in Part 2 of the form:

- Your printed name and former names (if any).
- Date of birth, gender, height, weight, eye color, hair color, place of birth, social security number (SSN), drivers license number, residence address, and OCA number (same as SSN).
- Sign and date confirmation of receiving and reading the Privacy Notice, Privacy Act Statement, and Applicant's Privacy Rights.

The electronic fingerprint service provider will complete Part 3 of the form.

Submission of Fingerprint Form

After you have your fingerprints taken by the live scan service provider, a completed copy of this form must be submitted with your original license application or petition application (RE 506). If you choose to get your fingerprints taken before passing your examination, submit a completed copy of this form to the Department of Real Estate, P.O. Box 137002, Sacramento, CA 95813-7002, Attn: Fingerprint Desk.

Fees

Processing fee — A fee will be collected by the live scan provider for the processing of your fingerprints by the Department of Justice.

Service fee — A separate fee will be charged for the service of taking the electronic fingerprints by the live scan provider. That fee may vary depending on the live scan provider you choose.

Fingerprint Services (Contact the facility for fees & hours.)

Many California law enforcement agencies and other private providers provide electronic fingerprint services. You may obtain a complete list by checking the Web site of the California Department of Justice at <http://ag.ca.gov/fingerprints/publications/contact.php>. Please note that the hours of operation for each provider may vary and an appointment may be required.

Privacy Notice

As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The California Justice Information Services (CJIS) Division in the Department of Justice (DOJ) collects the information requested on this form as authorized by Business and Professions Code sections 4600-4621, 7574-7574.16, 26050-26059, 11340-11346, and 22440-22449; Penal Code sections 11100-11112, and 11077.1; Health and Safety Code sections 1522, 1416.20-1416.50, 1569.10-1569.24, 1596.80-1596.879, 1725-1742, and 18050-18055; Family Code sections 8700-87200, 8800-8823, and 8900-8925; Financial Code sections 1300-1301, 22100-22112, 17200-17215, and 28122-28124; Education Code sections 44330-44355; Welfare and Institutions Code sections 9710-9719.5, 14043-14045, 4684-4689.8, and 16500-16523.1; and other various state statutes and regulations. The CJIS Division uses this information to process requests of authorized entities that want to obtain information as to the existence and content of a record of state or federal convictions to help determine suitability for employment, or volunteer work with children, elderly, or disabled; or for adoption or purposes of a license, certification, or permit. In addition, any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The DOJ's general privacy policy is available at <http://oag.ca.gov/privacy-policy>.

Providing Personal Information. All the personal information requested in the form must be provided. Failure to provide all the necessary information will result in delays and/or the rejection of your request.

Access to Your Information. You may review the records maintained by the CJIS Division in the DOJ that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process applications pertaining to Live Scan service to help determine the suitability of a person applying for a license, employment, or a volunteer position working with children, the elderly, or the disabled, we may need to share the information you give us with authorized applicant agencies.

The information you provide may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes.
- To another government agency as required by state or federal law.

Contact Information. For questions about this notice or access to your records, you may contact the Associate Governmental Program Analyst at the DOJ's Keeper of Records at (916) 210-3310, by email at keeperofrecords@doj.ca.gov, or by mail at:

Department of Justice
Bureau of Criminal Information & Analysis
Keeper of Records
P.O. Box 903417
Sacramento, CA 94203-4170

Privacy Act Statement

Authority. The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose. Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses. During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental, or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

Noncriminal Justice Applicant's Privacy Rights

As an applicant who is the subject of a national fingerprint-based criminal history record check for a noncriminal justice purpose (such as an application for employment or a license, an immigration or naturalization matter, security clearance, or adoption), you have certain rights which are discussed below.

- You must be provided written notification¹ that your fingerprints will be used to check the criminal history records of the FBI.
- You must be provided, and acknowledge receipt of, an adequate Privacy Act Statement when you submit your fingerprints and associated personal information. This Privacy Act Statement should explain the authority for collecting your information and how your information will be used, retained, and shared.²
- If you have a criminal history record, the officials making a determination of your suitability for the employment, license, or other benefit must provide you the opportunity to complete or challenge the accuracy of the information in the record.
- The officials must advise you that the procedures for obtaining a change, correction, or update of your criminal history record are set forth at Title 28, Code of Federal Regulations (CFR), Section 16.34.
- If you have a criminal history record, you should be afforded a reasonable amount of time to correct or complete the record (or decline to do so) before the officials deny you the employment, license, or other benefit based on information in the criminal history record.³

You have the right to expect that officials receiving the results of the criminal history record check will use it only for authorized purposes and will not retain or disseminate it in violation of federal statute, regulation or executive order, or rule, procedure or standard established by the National Crime Prevention and Privacy Compact Council.⁴

If agency policy permits, the officials may provide you with a copy of your FBI criminal history record for review and possible challenge. If agency policy does not permit it to provide you a copy of the record, you may obtain a copy of the record by submitting fingerprints and a fee to the FBI. Information regarding this process may be obtained at <https://www.fbi.gov/services/cjis/identity-history-summary-checks>.

If you decide to challenge the accuracy or completeness of your FBI criminal history record, you should send your challenge to the agency that contributed the questioned information to the FBI. Alternatively, you may send your challenge directly to the FBI. The FBI will then forward your challenge to the agency that contributed the questioned information and request the agency to verify or correct the challenged entry. Upon receipt of an official communication from that agency, the FBI will make any necessary changes/corrections to your record in accordance with the information supplied by that agency. (See 28 CFR 16.30 through 16.34.) You can find additional information on the FBI website at <https://www.fbi.gov/about-us/cjis/background-checks>.

¹ Written notification includes electronic notification, but excludes oral notification

² <https://www.fbi.gov/services/cjis/compact-council/privacy-act-statement>

³ See 28 CFR 50.12(b)

⁴ See U.S.C. 552a(b); 28 U.S.C. 534(b); 34 U.S.C. § 40316 (formerly cited as 42 U.S.C. § 14616), Article IV(c)

LICENSE APPLICATION DEMOGRAPHIC SURVEY

RE 243 (Rev. 7/20)

GENERAL INFORMATION

The Department of Real Estate is required to collect demographic information regarding applicants for licensure pursuant to Business & Professions Code 480 et seq., if the applicant chooses to provide this information. Providing this information is voluntary, and providing responses will not impact the Department's review of your license application.

GENDER:

- Male Female Non-binary I choose not to identify

ETHNIC IDENTITY:

- | | ASIAN | PACIFIC ISLANDER |
|---|---|---|
| <input type="checkbox"/> BLACK OR AFRICAN AMERICAN | <input type="checkbox"/> MULTIPLE ASIAN** | <input type="checkbox"/> MULTIPLE PACIFIC ISLANDER*** |
| <input type="checkbox"/> AMERICAN INDIAN OR ALASKA NATIVE | <input type="checkbox"/> INDIAN | <input type="checkbox"/> GUAMANIAN |
| <input type="checkbox"/> HISPANIC OR LATINO (ALONE OR IN COMBINATION WITH ANY OTHER RACE) | <input type="checkbox"/> CAMBODIAN | <input type="checkbox"/> HAWAIIAN |
| <input type="checkbox"/> WHITE | <input type="checkbox"/> CHINESE | <input type="checkbox"/> SAMOAN |
| <input type="checkbox"/> MULTIPLE RACES* | <input type="checkbox"/> FILIPINO | <input type="checkbox"/> OTHER PACIFIC ISLANDER |
| | <input type="checkbox"/> JAPANESE | |
| | <input type="checkbox"/> KOREAN | |
| | <input type="checkbox"/> LAOTIAN | |
| | <input type="checkbox"/> VIETNAMESE | |
| | <input type="checkbox"/> OTHER ASIAN | |

I CHOOSE NOT TO IDENTIFY

* If you identify with more than one race that is Non-Hispanic or Latino, select Multiple Races.

** If you identify with more than one Asian ethnicity, select Multiple Asian

*** If you identify with more than one Pacific Islander ethnicity, select Multiple Pacific Islander

Thank You For Completing This Questionnaire