

**INTERVIEW INFORMATION STATEMENT**

FILE NUMBER — DRE USE ONLY

RE 515 (Rev. 6/24)

- Complete all information requested.
- Read and sign certification on page 5.
- Submit your completed Information Statement to the individual assigned to your case.
- Your completed Information Statement must be received by the Department before an interview will be scheduled in connection with your petition/license application.

NAME OF LICENSEE/APPLICANT	DATE
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CALIFORNIA DRIVER'S LICENSE NUMBER	EXPIRATION DATE	CALIFORNIA IDENTIFICATION CARD #	EXPIRATION DATE
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US PASSPORT NUMBER

ADDRESS (STREET ADDRESS, CITY AND ZIP CODE)

TYPE OF LICENSE HELD OR APPLIED FOR  
 Salesperson  Broker  Officer  Mortgage Loan Originator (MLO) License Endorsement

IF LICENSED, ENTER REAL ESTATE LICENSE NUMBER	IF MLO, ENTER NMLS UNIQUE IDENTIFIER(S)	BUSINESS TELEPHONE NUMBER (      )
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ALTERNATE TELEPHONE NUMBER (      )	BUSINESS CELL PHONE NUMBER (      )	BUSINESS EMAIL ADDRESS
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LIST CITIES OF RESIDENCE DURING THE LAST FIVE YEARS

From	To	City	From	To	City

**EMPLOYMENT** - LIST ALL JOBS HELD DURING THE LAST FIVE YEARS BEGINNING WITH THE MOST RECENT. IF YOU HAVE BEEN DISMISSED, DISCHARGED OR FORCED TO RESIGN BY EMPLOYER, GIVE DETAILS BELOW.

From	To	Employer Name and Address	Employer Phone	Position

*Explanation*

**EDUCATION** - MARK THE HIGHEST LEVEL OF EDUCATION COMPLETED. LIST ANY DEGREES, SPECIAL EDUCATION OR TRAINING COURSES COMPLETED OTHER THAN PRIVATE REAL ESTATE SCHOOL GIVING EXAMINATION PREPARATION WORK.

High School Graduate or Equivalent  College Graduate  Graduate School  Other (Explain)

**FAMILY - CHECK THE APPROPRIATE BOX(ES) BELOW**

Married  Divorced  Married More Than Once  Separated  Single  Domestic Partner

DO YOU HAVE CHILDREN?

Yes  No (If Yes, list how many and their ages.)

**COMMUNITY GROUPS - ARE YOU ACTIVE IN SOCIAL, CIVIC, OR COMMUNITY GROUPS?**

Yes  No (If Yes, describe the group and your extent of activity and provide contact information.)

**PROPERTY OWNED - CHECK THE APPROPRIATE BOX(ES) BELOW**

Own home  Own other real property (describe below)  Own car  Own other property of substantial value (describe below.)

**PAST DUE DEBTS - DO YOU HAVE ANY PAST DUE DEBTS, LIENS, JUDGEMENTS, OUTSTANDING JUDGEMENTS SETTLED, OR BANKRUPTCY ACTION PENDING?**

Yes  No (If Yes, explain below in detail or on an attachment if necessary.)

**CIVIL COURT - HAVE YOU OR ANY ENTITY IN WHICH YOU HAD OWNERSHIP, HELD AN OFFICER TITLE, OR EXERCISED CONTROL EVER BEEN A DEFENDANT IN A CIVIL COURT ACTION, INCLUDING SMALL CLAIMS ACTIONS?**

Yes  No (If Yes, complete a Civil Litigation Report RE 515E form for each litigation issue including Small Claims matters.)

<i>Name of Court</i>	<i>Date</i>	<i>Docket/Case #</i>	<i>Brief Description of Suit</i>

DID ANY OF THE ABOVE CASES RESULT IN A JUDGMENT FOR FRAUD, MISREPRESENTATION, DISHONESTY, DISHONEST DEALING, AND/OR MISHANDLING OF TRUST FUNDS? IF YES, WERE ANY PUNITIVE AND/OR EXEMPLARY DAMAGES AWARDED AGAINST YOU?

Yes  No

**LICENSE/REGISTRATION** - DO YOU HOLD OR HAVE YOU EVER HELD ANY KIND OF PROFESSIONAL LICENSE, CERTIFICATE, CREDENTIAL, OR REGISTRATION ("LICENSE") IN THIS STATE OR ANY OTHER STATE?

Yes  No (If Yes, complete below.)

Name of State	License Type	ID Number	Date Issued	Current Status

**LICENSE/REGISTRATION DISCIPLINE** - HAVE YOU EVER SUFFERED LICENSE, CERTIFICATE, CREDENTIAL, OR REGISTRATION ("LICENSE") DISCIPLINE OR HAD AN ORDER ENTERED AGAINST YOU IN A LICENSED OR UNLICENSED CAPACITY IN THIS STATE OR ANY OTHER STATE?

Yes  No (If Yes, complete below.)

Name of State	License Type	ID#	File #	Eff. Date	Cause	Current Status

*Explanation*

**BONDS** - HAVE YOU EVER BEEN BONDED?

Yes  No (If Yes, list the position, coverages, amount of bond, individual or blanket bond and if any claims were ever made.)

**SUBSTANCE ABUSE** - DOES YOUR RECORD INDICATE A SUBSTANCE ABUSE (E.G. ALCOHOL) OR GAMBLING PROBLEM?

Substance Abuse or Gambling Problem:  Yes  No

If Yes, state any particular reason for it. If treatment has been obtained, list who, what, where, and how long. If you are now or have ever been a member of AA, NA or GA, state how long and how active, etc.

**MENTAL PROBLEMS** - HAVE YOU EVER BEEN A PATIENT OR INMATE OF A DEVELOPMENTAL OR MENTAL HOSPITAL, EVER BEEN DECLARED OR DIAGNOSED AS MENTALLY ILL, OR ADJUDGED WARD OF A COURT, OR TO BE INSANE, INCOMPETENT, OR A DIPSOMANIAC?

Yes  No (If Yes, explain below.)



**STIPULATION AND WAIVER/STIPULATION AND AGREEMENT**

In the event the Department of Real Estate offers you the opportunity to accept a Stipulation and Waiver/Stipulation and Agreement to a restricted Department of Real Estate license or restricted Mortgage Loan Originator License Endorsement, are you willing to waive your right to a hearing and stipulate to all charges in exchange for a restricted license?  Yes  No

**Note:** You should be aware that a restricted license can be suspended without a hearing in the event you are convicted of an actionable crime or violate any of the laws regulated by the Department of Real Estate. In addition, if you are a restricted salesperson, your broker must acknowledge that they are aware of your restriction and will closely supervise your licensed activities. Your agreement to enter into a Stipulation and Waiver/Stipulation and Agreement does not guarantee you will receive a restricted license or license endorsement, as the Commissioner will ultimately decide whether such a license will be issued.

**APPLICANT/LICENSEE REMARKS**

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**APPLICANT/LICENSEE CERTIFICATION**

*The foregoing record of my pending criminal actions and convictions has been read by me and said record is true and correct. I hereby admit the truth of all facts and statements contained herein, and consent that this record may be introduced by the Department of Real Estate as proof of said pending criminal actions and convictions, and any other facts hereinabove set forth, at any hearing held in connection with my application, or other agency hearing.*

SIGNATURE OF APPLICANT/LICENSEE ➤	DATE SIGNED
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**PRIVACY NOTICE**

Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals.

Department of Real Estate  
Asst. Commissioner -  
Enforcement Division  
651 Bannon Street, STE 505, Sacramento CA 95811  
Telephone: (916) 576-8100

Article 3 of Chapter 3 (commencing with Section 10175), Article 4, Chapter 7 (commencing with Section 10560 of the Business and Professions Code) and Sections 14540 & 14740 et seq. of the Government Code authorizes the maintenance of this information.

All items in this form are voluntary.

Failure to submit all of the information requested on the enclosed form will impede the ability of the Commissioner to fully evaluate whether you meet the criteria of rehabilitation established pursuant to regulations of the Commissioner and the license sought may be denied or if already licensed, formal disciplinary action imposed. The lack of a satisfactory explanation as to the reason for not revealing a criminal conviction on your license application or petition may result in a recommendation for a formal hearing to

deny the license sought or to deny the petition for reinstatement, removal of restrictions, or removal of disciplinary information from DRE's website.

The information requested in this form is primarily used for the purpose of aiding the Commissioner in evaluating the fitness of a licensee, petitioner, or license applicant with respect to the licensing matter at hand and to give an applicant, licensee, or petitioner an opportunity to explain their failure to disclose one or more criminal convictions on the license application or petition. The information obtained may be used as admission of the facts stated in an administrative hearing brought to deny issuance of such license or to deny a petition.

This information may be released as evidence at a hearing before an Administrative Law Judge of the Office of Administrative Hearings or to other governmental entities including law enforcement agencies.

Each individual has the right to review personal information maintained by this agency, unless access is exempted by law.