

# CIVIL LITIGATION DETAIL REPORT

RE 515E (Rev. 2/24)

## Instructions

- Please complete one form for each civil litigation issue, including small claims matters.
- You may duplicate this form as needed.
- You may also attach additional pages if necessary.

NAME OF COURT (WHERE CIVIL COMPLAINT WAS FILED)	CASE DOCKET NUMBER
ADDRESS OF COURT	COUNTY WHERE COMPLAINT FILED
PLAINTIFF NAME(S)	DATE OF INITIAL COMPLAINT

OUTCOME OF HEARING (ATTACH PROOF OF OUTCOME)

IN FAVOR OF PLAINTIFF    
  IN FAVOR OF DEFENDANT    
  STILL PENDING    
  SETTLED    
  DISMISSED

COMPLAINT WAS FOR: (Check all that apply.)

<input type="checkbox"/> FRAUD	<input type="checkbox"/> DISHONESTY	<input type="checkbox"/> BREACH OF TRUST
<input type="checkbox"/> MONEY LAUNDERING	<input type="checkbox"/> MISREPRESENTATION	<input type="checkbox"/> BREACH OF CONTRACT
<input type="checkbox"/> EVICTION/UNLAWFUL DETAINER	<input type="checkbox"/> FAMILY/PROBATE	<input type="checkbox"/> ADVERSARY PROCEEDING IN BANKRUPTCY
<input type="checkbox"/> OTHER:		

DETAILS OF THIS CIVIL LITIGATION COMPLAINT - PLEASE PROVIDE DETAILS OF THIS COMPLAINT INCLUDING A COMPLETE DESCRIPTION OF THE FACTS AND CIRCUMSTANCES THAT LED TO THE FILING, WHO FILED THE COMPLAINT, WHO THE VICTIM WAS, WHAT LOSSES WERE SUFFERED, ETC. PLEASE ATTACH COPIES OF RELEVANT DOCUMENTATION.

EXPLANATION OF COURT FINDINGS / OUTCOMES

IF A JUDGMENT WAS RENDERED AGAINST YOU:	DATE OF ENTRY OF JUDGMENT	
	TOTAL AMOUNT \$	AMOUNT IN PUNITIVE OR EXEMPLARY DAMAGES \$
	HAS THE JUDGMENT BEEN PAID IN FULL? <input type="checkbox"/> NO <input type="checkbox"/> YES	
	If No, why not?	
	If you are on a payment plan, please provide supporting documents	
WAS THE JUDGMENT FOR FRAUD, MISREPRESENTATION, DISHONEST DEALING, AND/OR MISHANDLING OF TRUST FUNDS? <input type="checkbox"/> NO <input type="checkbox"/> YES		
WHAT POSITIVE CHANGES HAVE YOU MADE IN YOUR LIFE AND/OR BUSINESS PRACTICES SINCE THIS JUDGMENT?		

IS THIS CASE ON APPEAL?  
 NO     YES    IF YES, NEXT HEARING DATE:

WAS THIS CIVIL LITIGATION ISSUE DISCLOSED ON YOUR ORIGINAL MLO LICENSE ENDORSEMENT APPLICATION?

NO  YES IF NO, PROVIDE AN EXPLANATION AS TO WHY YOU FAILED TO DISCLOSE THIS MATTER ON YOUR APPLICATION.

**APPLICANT/LICENSEE CERTIFICATION**

*The foregoing record of civil actions filed in a court of competent jurisdiction against me, including, but not limited to, actions filed in the Small Claims Court have been read by me and said record is true and correct. I hereby admit the truth of all facts and statements contained herein, and consent that this record may be introduced by the Department of Real Estate as proof of said civil actions filed in a court of competent jurisdiction against me, including, but not limited to, actions filed in the Small Claims Court, and any other facts hereinabove set forth, at any hearing held in connection with my application, or other agency hearing.*

SIGNATURE OF APPLICANT/LICENSEE

DATE SIGNED

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**PRIVACY NOTICE**

*Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals.*

Department of Real Estate  
 Asst. Commissioner - Enforcement  
 Enforcement Division  
 1651 Exposition Blvd., Sacramento CA 95815  
 Telephone: (916) 576-8100

Article 3 of Chapter 3 (commencing with Section 10175), Article 4, Chapter 7 (commencing with Section 10560 of the Business and Professions Code) and Sections 14540 & 14740 et seq. of the Government Code authorizes the maintenance of this information.

All items in this form are voluntary.

Failure to submit all of the information requested on the enclosed form will impede the ability of the Commissioner to fully evaluate whether you meet the criteria of rehabilitation established pursuant to regulations of the Commissioner and the license sought may be denied or if already licensed, formal disciplinary action imposed. The lack of a satisfactory explanation as to the reason for not revealing a civil action on your license application or petition may result in a

recommendation for a formal hearing to deny the license sought or to deny the petition for reinstatement or removal of restrictions.

The information requested in this form is primarily used for the purpose of aiding the Commissioner in evaluating the fitness of a licensee, petitioner, or license applicant with respect to the licensing matter at hand and to give an applicant, licensee, or petitioner an opportunity to explain their failure to disclose one or more civil actions filed in a court of competent jurisdiction against them, including, but not limited to, actions filed in the Small Claims Court on the license application or petition. The information obtained may be used as admission of the facts stated in an administrative hearing brought to deny issuance of such license or to deny a petition.

This information may be released as evidence at a hearing before an Administrative Law Judge of the Office of Administrative Hearings or to other governmental entities including Law Enforcement Agencies.

Each individual has the right to review personal information maintained by this agency, unless access is exempted by law.